



February 7, 2019

Re: Guideline No.9 - Searching for Un-locatable Members of a Pension Plan

On behalf of the Canadian Association of Pension Supervisory Authorities (CAPSA), we are pleased to announce the release of Guideline No.9 - Searching for Un-locatable Members of a Pension Plan (Guideline).

CAPSA established the Un-locatable Members Committee (Committee) to meet its priority of promoting a common regulatory approach and consistent standards for plan administration in specific areas. The Committee's mandate was to examine, conduct research and make recommendations on developing harmonized processes and/or guidance with respect to searching for un-locatable members of a plan.

The Committee developed a draft Guideline and released it in late June 2018, for stakeholder review and comment, for a period of six weeks. CAPSA received eight submissions, which are available on CAPSA's website. The feedback included support for the creation of a provincial, regional or national repository and transferring unclaimed pension balances to it. However, this issue is outside the scope of the Guideline as the Guideline's focus is to outline best practices and options with respect to searching for un-locatable members.

After considering the feedback from the submissions it received, CAPSA has revised the consultation draft and is pleased to publish the final version of the Guideline.

CAPSA would like to thank all those who provided comments on the consultation draft and encourages all stakeholders to participate in future consultations.

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